



NATIONAL SECURITY AGENCY
FORT GEORGE G. MEADE, MARYLAND 20755-6000

2 October 2014

Mr. Jason Leopold



Dear Mr. Leopold:

I am writing to follow up on my correspondence of 23 July 2014, in view of General Keith B. Alexander's public role as the former Director of the National Security Agency, the Agency hereby provides the Financial Disclosure Reports, Form OGE278, as requested, as a matter of discretion and in the interest of transparency. Although your request sought reports for the years 2005 through 2014, the Ethics in Government Act mandates only that Financial Disclosure Reports be made available to the public for a period of six years after receipt. 5 C.F.R. § 2634.603(g)(1). As a result, enclosed are the reports received in years 2009 through 2014.

Sincerely,

SHADEY T. BROWN
Alternate Designated Agency Ethics Official
Attorney
Office of General Counsel
(Administrative Law and Ethics)

Enc.
a/s

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2009

Covering Calendar Year 2008

007

NSN 7540-01-070-8444
Acrobat version 3.0.1 (3/29/01)

SCHEDULE A

Page Number 2 of 7

SCHEDULE A								PAGE NUMBER																			
Reporting Individual's Name Alexander, Keith B.																											
Assets and Income							Valuation of Assets at close of reporting period							Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.													
BLOCK A							BLOCK B							BLOCK C													
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse report the source but not the amount of earned income of more than \$1,000 (except report the actual amount if any honoraria over \$200 of your spouse).							None (or less than \$1,001)																				
☐							\$1,001 - \$15,000																				
							\$15,001 - \$50,000																				
							\$50,001 - \$100,000																				
							\$100,001 - \$250,000																				
							\$250,001 - \$500,000																				
							\$500,001 - \$1,000,000																				
							Over \$1,000,000*																				
							\$1,000,001 - \$5,000,000																				
							\$5,000,001 - \$25,000,000																				
							\$25,000,001 - \$50,000,000																				
							Over \$50,000,000																				
							Excepted Investment Fund																				
							Excepted Trust																				
							Qualified Trust																				
							Dividends																				
							Rent and Royalties																				
							Interest																				
							Capital Gains																				
							None (or less than \$201)																				
							\$201 - \$1,000																				
							\$1,001 - \$2,500																				
							\$2,501 - \$5,000																				
							\$5,001 - \$15,000																				
							\$15,001 - \$50,000																				
							\$50,001 - \$100,000																				
							\$100,001 - \$1,000,000																				
							Over \$1,000,000*																				
							\$1,000,001 - \$5,000,000																				
							Over \$5,000,000																				
							Other Income (Specify Type & Amount) Date (Mo., Day, Yr.) Only if Honoraria																				
Examples:																											
Central Airlines Common																											
Dee Jones & Smith, Hometown, State																											
Kempstone Equity Fund																											
JFA Heartland 500 Index Fund																											
1 Synchronoss Tech Inc common																											
2 ConnectSys Corporation common																											
3 WRL Mutual Fund - Emerging Growth																											
4 WRL Mutual Fund - Strategic Total Return																											
5 WRL Mutual Fund - Growth																											
6 Datacension Inc																											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE A continued
(Use only if needed)

Page Number

3 of 7

Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																					
BLOCK A		BLOCK B										BLOCK C																					
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria	
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000			Over \$5,000,000
1	Vanguard Small Cap mutual fund		X											X																			
2	Vanguard Mid Cap mutual fund		X											X																			
3	Mosaic Company		X																														
4	Pericom Semiconductor Inc		X																														
5																																	
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used.

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Alexander, Keith B

SCHEDULE B

Page Number
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Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

1	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)									
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000
Example	Central Airlines Common	x			2/1/99			x							
1	Aluminum Corp China LTO Adr (no reportable income for Schedule A)		x		1/7/08	x									
2	Polash Corp SASK Inc (no reportable income for Schedule A)		x		1/7/08	x									
3	Synchronous Tech Inc Common		x		1/7/08		x								
4	Synchronous Tech Inc Common		x		1/25/08		x								
5	Synchronous Tech Inc Common		x		2/1/08		x								

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260, and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Examples	Natl Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
1	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	\$300
2			
3			
4			
5			

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Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Alexander, Keith B

SCHEDULE B continued
(Use only if needed)

Page Number

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Part I: Transactions

Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
	Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
1 Atlas America Inc	x			4/7/08		x										
2 Pericom Semiconductor Inc	x			5/2/08	x											
3 Monolithic Power Systems Inc	x			5/2/08	x											
4 Atlas America Inc (no reportable income for Schedule A)		x		5/2/08		x										
5 Synchronous Tech Inc Common	x			5/7/08	x											
6 Monolithic Power Systems Inc (no reportable income for Schedule A)		x		5/28/08	x											
7 Mosaic Company	x			10/16/2011	x											
8																
9																
10																
11																
12																
13																
14																
15																
16																

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE C

Page Number
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude

None ☐

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

Examples	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (\$)									
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x		x					
1	College Loan Corporation, San Diego CA	loan	2003	4.86%	10yr		x								
2															
3															
4															
5															

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

Status and Terms of any Agreement or Arrangement		Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.		
1			7/85
2			
3			
4			
5			
6			

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Reporting Individual's Name
Alexander, Keith B

Page Number

SCHEDULE D

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

Examples	Organization (Name and Address)		Type of Organization	Position Held		From (Mo./Yr.)	To (Mo./Yr.)
1	Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President		6/92	Present
2	Doe Jones & Smith, Hometown, State		Law firm	Partner		7/85	1/00
3							
4							
5							
6							

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

None ☐

Source (Name and Address)		Brief Description of Duties
Examples		Legal services
Doe Jones & Smith, Hometown, State		Legal services in connection with university construction
Metro University (client of Doe Jones & Smith), Moneytown, State		
1	n/a	
2		
3		
4		
5		
6		

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Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: <http://www.n.nsa/GC/practgtps/soco/financialdisclosure/RestrictionsForSeekingPostGovernmentEmployment.htm>) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

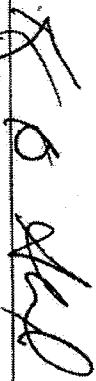
Alexander Keith B

Print: Last Name, First Name, Middle Initial

Date

10 April 09

Signature



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SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name: Alexander Keith B

SF278 Filer's SID: kbaez2

SF278 Filer's ORG: D

SF278 Filer's Job Description (short list of duties-use back if necessary): _____

Director, NSA / Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship," if a reasonable person with knowledge of the facts would question the filer's impartiality in the matter. A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then SIGN.

☐ No financial interests or affiliations reported. (Only check if ALL blocks on the SF278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by: _____

☐ Exemption-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000.

☐ Disqualification attached-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: <http://www.nsa.gov/practicing/soco/financialdisclosure/financialdisclosuretoc.htm>

☐ Divestiture of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ Waivers are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Mr. James R. Clapper, Under Secretary of Defense for Intelligence

Date: 23 APR 07

Supervisor's Signature: [Signature]

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received March 2010

Covering Calendar Year 2009

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[illegible]

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Derived from

Discussion

Accuracy of

Alexander, Keith B

Figure 1

It is important to point out, however, that the fact a spouse or dependent child is in the armed services is not a sufficient condition for a dependent child to be an eligible dependent relative. The fact that a spouse or dependent child is in the armed services is only a necessary condition for a dependent child to be an eligible dependent relative.

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Alexander, Keith B

SCHEDULE A continued

Use only if needed.

Assets and income

Valuation of Assets at close of reporting period

Income type and amount. If "None" for less than \$200,000, no other entry is needed on Worksheet A-1, but you

Shirley, N.

1500 k P

Figure 1

[Faint, illegible handwritten notes]

Concentration of inhibitor (mole/l)	Rate of polymerization (mole/l·hr)
0	0.8
0.0001	0.6
0.0002	0.4
0.0004	0.2
0.0006	0.15
0.0008	0.1
0.001	0.1

DESSIN, INC.

None (or less than \$1,000)

S1100 S134444

\$15,001 - \$50,000

\$500,000 \$1,000,000

\$100,000 \$250,000

SECRET SECRET

2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 2014 2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033 2034 2035 2036 2037 2038 2039 2040 2041 2042 2043 2044 2045 2046 2047 2048 2049 2050 2051 2052 2053 2054 2055 2056 2057 2058 2059 2060 2061 2062 2063 2064 2065 2066 2067 2068 2069 2070 2071 2072 2073 2074 2075 2076 2077 2078 2079 2080 2081 2082 2083 2084 2085 2086 2087 2088 2089 2090 2091 2092 2093 2094 2095 2096 2097 2098 2099 2100 2101 2102 2103 2104 2105 2106 2107 2108 2109 2110 2111 2112 2113 2114 2115 2116 2117 2118 2119 2120 2121 2122 2123 2124 2125 2126 2127 2128 2129 2130 2131 2132 2133 2134 2135 2136 2137 2138 2139 2140 2141 2142 2143 2144 2145 2146 2147 2148 2149 2150 2151 2152 2153 2154 2155 2156 2157 2158 2159 2160 2161 2162 2163 2164 2165 2166 2167 2168 2169 2170 2171 2172 2173 2174 2175 2176 2177 2178 2179 2180 2181 2182 2183 2184 2185 2186 2187 2188 2189 2190 2191 2192 2193 2194 2195 2196 2197 2198 2199 2200 2201 2202 2203 2204 2205 2206 2207 2208 2209 2210 2211 2212 2213 2214 2215 2216 2217 2218 2219 2220 2221 2222 2223 2224 2225 2226 2227 2228 2229 2230 2231 2232 2233 2234 2235 2236 2237 2238 2239 2240 2241 2242 2243 2244 2245 2246 2247 2248 2249 2250 2251 2252 2253 2254 2255 2256 2257 2258 2259 2260 2261 2262 2263 2264 2265 2266 2267 2268 2269 2270 2271 2272 2273 2274 2275 2276 2277 2278 2279 2280 2281 2282 2283 2284 2285 2286 2287 2288 2289 2290 2291 2292 2293 2294 2295 2296 2297 2298 2299 2300 2301 2302 2303 2304 2305 2306 2307 2308 2309 2310 2311 2312 2313 2314 2315 2316 2317 2318 2319 2320 2321 2322 2323 2324 2325 2326 2327 2328 2329 2330 2331 2332 2333 2334 2335 2336 2337 2338 2339 2340 2341 2342 2343 2344 2345 2346 2347 2348 2349 2350 2351 2352 2353 2354 2355 2356 2357 2358 2359 2360 2361 2362 2363 2364 2365 2366 2367 2368 2369 2370 2371 2372 2373 2374 2375 2376 2377 2378 2379 2380 2381 2382 2383 2384 2385 2386 2387 2388 2389 2390 2391 2392 2393 2394 2395 2396 2397 2398 2399 2400 2401 2402 2403 2404 2405 2406 2407 2408 2409 2410 2411 2412 2413 2414 2415 2416 2417 2418 2419 2420 2421 2422 2423 2424 2425 2426 2427 2428 2429 2430 2431 2432 2433 2434 2435 2436 2437 2438 2439 2440 2441 2442 2443 2444 2445 2446 2447 2448 2449 2450 2451 2452 2453 2454 2455 2456 2457 2458 2459 2460 2461 2462 2463 2464 2465 2466 2467 2468 2469 2470 2471 2472 2473 2474 2475 2476 2477 2478 2479 2480 2481 2482 2483 2484 2485 2486 2487 2488 2489 2490 2491 2492 2493 2494 2495 2496 2497 2498 2499 2500 2501 2502 2503 2504 2505 2506 2507 2508 2509 2510 2511 2512 2513 2514 2515 2516 2517 2518 2519 2520 2521 2522 2523 2524 2525 2526 2527 2528 2529 2530 2531 2532 2533 2534 2535 2536 2537 2538 2539 2540 2541 2542 2543 2544 2545 2546 2547 2548 2549 2550 2551 2552 2553 2554 2555 2556 2557 2558 2559 2560 2561 2562 2563 2564 2565 2566 2567 2568 2569 2570 2571 2572 2573 2574 2575 2576 2577 2578 2579 2580 2581 2582 2583 2584 2585 2586 2587 2588 2589 2590 2591 2592 2593 2594 2595 2596 2597 2598 2599 2600 2601 2602 2603 2604 2605 2606 2607 2608 2609 2610 2611 2612 2613 2614 2615 2616 2617 2618 2619 2620 2621 2622 2623 2624 2625 2626 2627 2628 2629 2630 2631 2632 2633 2634 2635 2636 2637 2638 2639 2640 2641 2642 2643 2644 2645 2646 2647 2648 2649 2650 2651 2652 2653 2654 2655 2656 2657 2658 2659 2660 2661 2662 2663 2664 2665 2666 2667 2668 2669 2670 2671 2672 2673 2674 2675 2676 2677 2678 2679 2680 2681 2682 2683 2684 2685 2686 2687 2688 2689 2690 2691 2692 2693 2694 2695 2696 2697 2698 2699 2700 2701 2702 2703 2704 2705 2706 2707 2708 2709 2710 2711 2712 2713 2714 2715 2716 2717 2718 2719 2720 2721 2722 2723 2724 2725 2726 2727 2728 2729 2730 2731 2732 2733 2734 2735 2736 2737 2738 2739 2740 2741 2742 2743 2744 2745 2746 2747 2748 2749 2750 2751 2752 2753 2754 2755 2756 2757 2758 2759 2760 2761 2762 2763 2764 2765 2766 2767 2768 2769 2770 2771 2772 2773 2774 2775 2776 2777 2778 2779 2780 2781 2782 2783 2784 2785 2786 2787 2788 2789 2790 2791 2792 2793 2794 2795 2796 2797 2798 2799 2800 2801 2802 2803 2804 2805 2806 2807 2808 2809 2810 2811 2812 2813 2814 2815 2816 2817 2818

.....

DATE RECEIVED _____

STANDARD - \$5,000,000

\$5,000,000 \$25,000,000

\$25,000,000 \$50

Over \$50,000,000

Excepted Inves

Accepted Trust

Qualified T

Experiments

Kerr and

Abstract

Capital Gains

Never let loss 11

\$411 - \$1,000

4. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16. 17. 18. 19. 20. 21. 22. 23. 24. 25. 26. 27. 28. 29. 30. 31. 32. 33. 34. 35. 36. 37. 38. 39. 40. 41. 42. 43. 44. 45. 46. 47. 48. 49. 50. 51. 52. 53. 54. 55. 56. 57. 58. 59. 60. 61. 62. 63. 64. 65. 66. 67. 68. 69. 70. 71. 72. 73. 74. 75. 76. 77. 78. 79. 80. 81. 82. 83. 84. 85. 86. 87. 88. 89. 90. 91. 92. 93. 94. 95. 96. 97. 98. 99. 100. 101. 102. 103. 104. 105. 106. 107. 108. 109. 110. 111. 112. 113. 114. 115. 116. 117. 118. 119. 120. 121. 122. 123. 124. 125. 126. 127. 128. 129. 130. 131. 132. 133. 134. 135. 136. 137. 138. 139. 140. 141. 142. 143. 144. 145. 146. 147. 148. 149. 150. 151. 152. 153. 154. 155. 156. 157. 158. 159. 160. 161. 162. 163. 164. 165. 166. 167. 168. 169. 170. 171. 172. 173. 174. 175. 176. 177. 178. 179. 180. 181. 182. 183. 184. 185. 186. 187. 188. 189. 190. 191. 192. 193. 194. 195. 196. 197. 198. 199. 200. 201. 202. 203. 204. 205. 206. 207. 208. 209. 210. 211. 212. 213. 214. 215. 216. 217. 218. 219. 220. 221. 222. 223. 224. 225. 226. 227. 228. 229. 230. 231. 232. 233. 234. 235. 236. 237. 238. 239. 240. 241. 242. 243. 244. 245. 246. 247. 248. 249. 250. 251. 252. 253. 254. 255. 256. 257. 258. 259. 260. 261. 262. 263. 264. 265. 266. 267. 268. 269. 270. 271. 272. 273. 274. 275. 276. 277. 278. 279. 280. 281. 282. 283. 284. 285. 286. 287. 288. 289. 290. 291. 292. 293. 294. 295. 296. 297. 298. 299. 300. 301. 302. 303. 304. 305. 306. 307. 308. 309. 310. 311. 312. 313. 314. 315. 316. 317. 318. 319. 320. 321. 322. 323. 324. 325. 326. 327. 328. 329. 330. 331. 332. 333. 334. 335. 336. 337. 338. 339. 340. 341. 342. 343. 344. 345. 346. 347. 348. 349. 350. 351. 352. 353. 354. 355. 356. 357. 358. 359. 360. 361. 362. 363. 364. 365. 366. 367. 368. 369. 370. 371. 372. 373. 374. 375. 376. 377. 378. 379. 380. 381. 382. 383. 384. 385. 386. 387. 388. 389. 390. 391. 392. 393. 394. 395. 396. 397. 398. 399. 400. 401. 402. 403. 404. 405. 406. 407. 408. 409. 410. 411. 412. 413. 414. 415. 416. 417. 418. 419. 420. 421. 422. 423. 424. 425. 426. 427. 428. 429. 430. 431. 432. 433. 434. 435. 436. 437. 438. 439. 440. 441. 442. 443. 444. 445. 446. 447. 448. 449. 450. 451. 452. 453. 454. 455. 456. 457. 458. 459. 460. 461. 462. 463. 464. 465. 466. 467. 468. 469. 470. 471. 472. 473. 474. 475. 476. 477. 478. 479. 480. 481. 482. 483. 484. 485. 486. 487. 488. 489. 490. 491. 492. 493. 494. 495. 496. 497. 498. 499. 500. 501. 502. 503. 504. 505. 506. 507. 508. 509. 510. 511. 512. 513. 514. 515. 516. 517. 518. 519. 520. 521. 522. 523. 524. 525. 526. 527. 528. 529. 530. 531. 532. 533. 534. 535. 536. 537. 538. 539. 540. 541. 542. 543. 544. 545. 546. 547. 548. 549. 550. 551. 552. 553. 554. 555. 556. 557. 558. 559. 560. 561. 562. 563. 564. 565. 566. 567. 568. 569. 570. 571. 572. 573. 574. 575. 576. 577. 578. 579. 580. 581. 582. 583. 584. 585. 586. 587. 588. 589. 590. 591. 592. 593. 594. 595. 596. 597. 598. 599. 600. 601. 602. 603. 604. 605. 606. 607. 608. 609. 610. 611. 612. 613. 614. 615. 616. 617. 618. 619. 620. 621. 622. 623. 624. 625. 626. 627. 628. 629. 630. 631. 632. 633. 634. 635. 636. 637. 638. 639. 640. 641. 642. 643. 644. 645. 646. 647. 648. 649. 650. 651. 652. 653. 654. 655. 656. 657. 658. 659. 660. 661. 662. 663. 664. 665. 666. 667. 668. 669. 670. 671. 672. 673. 674. 675. 676. 677. 678. 679. 680. 681. 682. 683. 684. 685. 686. 687. 688. 689. 690. 691. 692. 693. 694. 695. 696. 697. 698. 699. 700. 701. 702. 703. 704. 705. 706. 707. 708. 709. 710. 711. 712. 713. 714. 715. 716. 717. 718. 719. 720. 721. 722. 723. 724. 725. 726. 727. 728. 729. 730. 731. 732. 733. 734. 735. 736. 737. 738. 739. 740. 741. 742. 743. 744. 745. 746. 747. 748. 749. 750. 751. 752. 753. 754. 755. 756. 757. 758. 759. 760. 761. 762. 763. 764. 765. 766. 767. 768. 769. 770. 771. 772. 773. 774. 775. 776. 777. 778. 779. 780. 781. 782. 783. 784. 785. 786. 787. 788. 789. 790. 791. 792. 793. 794. 795. 796. 797. 798. 799. 800. 801. 802. 803. 804. 805. 806. 807. 808. 809. 810. 811. 812. 813. 814. 815. 816. 817. 818. 819. 820. 821. 822. 823. 824. 825. 826. 827. 828. 829. 830. 831. 832. 833. 834. 835. 836. 837. 838. 839. 840. 841.

1992

92.301 93.187

SUBJECTS

\$15,000 \$50,000
=====

550,000 540,000

Strom, M. - Strom, M.

[illegible][illegible]

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SCHEDULE B

Alexander, Keith B

Part I: Transactions

the proportion of high scores is 0.30, and a possible total score is 100, the expected score of any individual is 30. The expected score of any individual is the mean score, and is computed by multiplying the proportion of high scores by the maximum value of the variable. The expected score of the individual can be extended to the expected score of a group of individuals. Thus, the expected score of a group of 100 individuals is 3000.

[illegible][illegible]

3. The direct effect of the interaction between the two variables is not significant. This suggests that the effect of the interaction between the two variables is not significant.

Part II: Gifts, Reimbursements, and Travel Expenses

There is a very real danger of overlooking the fact that the "new" social movements are not only a response to the economic crisis, but also a response to the political crisis. The "new" social movements are not only a response to the economic crisis, but also a response to the political crisis. The "new" social movements are not only a response to the economic crisis, but also a response to the political crisis.

It is a common mistake to think that the only way to improve the quality of the work is to increase the number of people working on it. This is not always true. In fact, the quality of the work can be improved by increasing the number of people working on it, but only if the work is done in a way that allows for the best use of the resources available. For example, if the work is done in a way that allows for the best use of the resources available, then the quality of the work can be improved. However, if the work is done in a way that does not allow for the best use of the resources available, then the quality of the work can be improved by increasing the number of people working on it. This is because the more people working on the work, the more likely it is that the work will be done in a way that allows for the best use of the resources available. Therefore, the quality of the work can be improved by increasing the number of people working on it, but only if the work is done in a way that allows for the best use of the resources available.



| Year | Month | Day | Time | Location | Remarks | Signature | Initials |
|------|-------|-----|-------|---------------|------------------------|-------------|------------|
| 1967 | 12 | 25 | 10:00 | San Francisco | Arrived at the airport | [Signature] | [Initials] |
| 1967 | 12 | 26 | 10:00 | San Francisco | Left the airport | [Signature] | [Initials] |
| 1967 | 12 | 27 | 10:00 | San Francisco | Arrived at the airport | [Signature] | [Initials] |
| 1967 | 12 | 28 | 10:00 | San Francisco | Left the airport | [Signature] | [Initials] |
| 1967 | 12 | 29 | 10:00 | San Francisco | Arrived at the airport | [Signature] | [Initials] |
| 1967 | 12 | 30 | 10:00 | San Francisco | Left the airport | [Signature] | [Initials] |
| 1967 | 12 | 31 | 10:00 | San Francisco | Arrived at the airport | [Signature] | [Initials] |

Alexander, Keith B

SCHEDULE C

1 of 1

Part I: Liabilities

Report liabilities owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. List the dollar amount owed during the reporting period. Exclude:

None ☐

| Year | Interest Rate | Term | Amount | Collateral | Secured | Unsecured | Other | Notes |
|------|---------------|------|--------|------------|---------|-----------|-------|-------|
| 2003 | 4.86% | 10Yr | | | | | | |

| Line | Debt Name and Address | Type of Liability | Year | Interest Rate | Term | Amount | Collateral | Secured | Unsecured | Other | Notes |
|------|---------------------------------------|-------------------|------|---------------|------|--------|------------|---------|-----------|-------|-------|
| 1 | Chase Bank Corporation, San Diego, CA | Mortgage | 2003 | 4.86% | 10Yr | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
| 5 | | | | | | | | | | | |
| 6 | | | | | | | | | | | |
| 7 | | | | | | | | | | | |
| 8 | | | | | | | | | | | |
| 9 | | | | | | | | | | | |
| 10 | | | | | | | | | | | |

Part II: Agreements or Arrangements

Report your agreements or arrangements for 1. a continuing participation in an enterprise (other than a partnership), or 2. a continuing interest in payment by a former employer (including severance payments, etc.)

| Line | Debt Name and Address | Type of Liability | Year | Interest Rate | Term | Amount | Collateral | Secured | Unsecured | Other | Notes |
|------|-----------------------|-------------------|------|---------------|------|--------|------------|---------|-----------|-------|-------|
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
| 5 | | | | | | | | | | | |
| 6 | | | | | | | | | | | |
| 7 | | | | | | | | | | | |
| 8 | | | | | | | | | | | |
| 9 | | | | | | | | | | | |
| 10 | | | | | | | | | | | |

SCHEDULE D

Part I: Positions Held Outside U.S. Government

It was not possible to determine the significant predictors for an increase in total phosphorus loading from the 1980s to the 1990s for the different sub-basins. Therefore, regression models were not considered for the sub-basins. However, the two models for the entire catchment area and for the 1990s only were used to estimate the expected increase in total phosphorus loading for the 1990s.

[illegible]

Part II: Compensation in Excess of \$5,000 Paid by One Source

Do not complete this part if you are an incumbent, former mayor or vice president, or presidential candidate.

During a visit to the South African Republic, we were met by a young man, a student of the University of Cape Town, who had just returned from a tour of the United States. He was very friendly and gave us a very good impression of the country. He was very interested in our work and gave us a very good impression of the country. He was very interested in our work and gave us a very good impression of the country.

the people of Baltimore. President Lincoln's death is a tragedy for all the people of the world. The people of Baltimore are proud to have been the first to receive the news of the President's death. The people of Baltimore are proud to have been the first to receive the news of the President's death.

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: http://forms.do.nsa/pdf/SF0278_AC.pdf) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name First Name Middle Initial

Date 11 Mar 10

Signature *AKB*

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SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name Alexander Keith B

SF278 Filer's SID XXXXXX

SF278 Filer's ORG 1

SF278 Filer's Job Description (short list of duties-use back if necessary):

Director NSA Crypt CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship." If a reasonable person with knowledge of the facts would question the filer's impartiality in the matter, a filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then SIGN.

☐ No financial interests or affiliations reported (Only check if ALL blocks on the SF278 are marked "NONE")

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties and no conflicts appear to exist.

☐ Reported "financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation, including a former Non-Federal employer within the previous year. The conflict will be resolved by _____

☐ Exemption-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000.

☐ Disqualification attached-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: <http://soteworks.us/mwde.cfm?id=10304>

☐ Divestiture of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ Waivers are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Mr. James G. [unclear]

Date: 1/2/2008

Supervisor's Signature: [Signature]

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-67865/301-688-2752b

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2011

Covering Calendar Year 2010

UNCLASSIFIED//FOR OFFICIAL USE ONLY
Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

114

| | | | | | | | |
|---|--|--|--|---|--|--|--|
| Reporting Individual's Name | | Last Name | | First Name and Middle Initial | | B | |
| Alexander | | Keith | | Department or Agency (if applicable) | | NSA/CSS | |
| Position for Which Filing | | Director, NSA / Chief, CSS | | Title of Position | | NSA/CSS | |
| Location of Present Office | | Address (Number, Street, City, State, and ZIP code) | | Telephone No. (include Area code) | | 301-688-7111 | |
| 9800 Savage Road, Fort Meade, MD 20755-6000 | | Title of Position(s) and Dates Held | | Not Applicable | | Not Applicable | |
| Presidential Nominees Subject to Senate Confirmation | | Name of Congressional Committee Considering Nomination | | Do You Intend to Create a Qualified Divested Trust? | | Yes ☐ No ☐ | |
| Not Applicable | | Signature of Reporting Individual | | Date (Month, Day, Year) | | Feb 18, 2011 | |
| Signature of Other Reviewer (if desired by agency) | | Date (Month, Day, Year) | | 4/8/11 | | 4/8/11 | |
| Agency Ethics Official's Opinion | | Signature of Designated Agency Ethics Official | | Date (Month, Day, Year) | | 4/8/11 | |
| Signature of Reporting Individual | | Date (Month, Day, Year) | | 4/8/11 | | 4/8/11 | |
| Office of Government Ethics Use Only | | Signature | | Date (Month, Day, Year) | | 4/8/11 | |
| Comments or Reviewing Officials (if additional space is required, use the reverse side of this sheet) | | 1R | | 2/18/11 - sent document for signature | | no conflicts | |

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or if an extension is granted more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year (except Part II of Schedule C and Part I of Schedule D) when you have also included the filing year up to the date you file. Part II of Schedule D is not applicable.
Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.
Nominees, New Entrants and Candidates for President and Vice President:
Schedule A: The reporting period for income filers (A) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 61 days of the date of filing.
Schedule B: Not applicable.
Schedule C, Part I: Not applicable.
Schedule C, Part II: Agreements or arrangements as of the date of filing.
Schedule D: The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only
4/8/11
OGE Use Only

SCHEDULE A

2 of 6

Assets and income

Valuation of Assets at Close of Reporting Period

Income: type and amount. If "None for less than \$200" is checked, no other entry is needed in block C for that item.

三千元

bon, your spouse, and dependent children report each asset held for investment on the population of income which had a fair market value exceeding \$1,000 at the close of the reporting period, of which generated more than \$200 in income during the reporting period, together with such income.

BOOK

Type

Amount

for yourself. Also report the source and actual amount of earned income exceeding \$200 (whether from the U.S. Government, for your spouse, report the source but not the amount of earned income of more than \$1,000) except report the actual amount of any honoraria over \$200 of your spouse.

NOTE

| |
|-----------------------------|
| None (or less than \$1,001) |
| \$1,001 - \$15,000 |
| \$15,001 - \$50,000 |
| \$50,001 - \$100,000 |
| \$100,001 - \$250,000 |
| \$250,001 - \$500,000 |
| \$500,001 - \$1,000,000 |
| Over \$1,000,000* |
| \$1,000,001 - \$5,000,000 |
| \$5,000,001 - \$25,000,000 |
| \$25,000,001 - \$50,000,000 |
| Over \$50,000,000 |
| Excepted Investment Fund |
| Excepted Trust |
| Qualified Trust |
| Dividends |
| Rent and Royalties |
| Interest |
| Capital Gains |
| None (or less than \$201) |
| \$201 - \$1,000 |
| \$1,001 - \$2,500 |
| \$2,501 - \$5,000 |
| \$5,001 - \$15,000 |
| \$15,001 - \$50,000 |
| \$50,001 - \$100,000 |
| \$100,001 - \$1,000,000 |
| Over \$1,000,000* |
| \$1,000,001 - \$5,000,000 |
| Over \$5,000,000 |

[illegible]

1892. 12. 23

| Examples | Central Nations + Territories |
|---------------------------------|-------------------------------|
| Indigenous peoples, territories | |

1. **NAME:** _____
 2. **DATE:** _____
 3. **TIME:** _____
 4. **LOCATION:** _____
 5. **WEATHER:** _____
 6. **MOON:** _____
 7. **STARS:** _____
 8. **PLANETS:** _____
 9. **COMETS:** _____
 10. **OTHER:** _____

[illegible]

1. $2x^2 + 3x - 5$
2. $4x^2 - 7x + 1$
3. $6x^2 + 11x - 2$
4. $8x^2 - 9x + 3$
5. $10x^2 + 13x - 4$
6. $12x^2 - 15x + 6$
7. $14x^2 + 17x - 8$
8. $16x^2 - 19x + 9$
9. $18x^2 + 21x - 10$
10. $20x^2 - 23x + 11$

1. *Chlorophyll a* (Chl a) is the primary photosynthetic pigment in most plants and algae. It is responsible for capturing light energy and converting it into chemical energy through the process of photosynthesis.

WRLL Life Insurance (3 funds - see breakout below):

Transamerica Van Kampen Mid Cap Growth VP Mutual Fund

Transamerica Value Balance VP Mutual Fund

Transamerica Equity VP Mutual Fund

Vanguard Small Cap Mutual Fund

Vanguard Mid Cap Mutual Fund

* This category applies only if the asset income is solely that of the filer's spouse or dependent children. If the asset income is jointly that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE A continued
 (Use only if needed)

Page Number

2 of 6

| Assets and Income | Valuation of Assets
at close of reporting period | Income: type and amount. If "None for less than \$201" is checked, no other entry is needed in Block C for that item. | BLOCK A | | BLOCK B | | BLOCK C | | Other Income (Mo., Day, Yr.)
Specify Type & Amount | Date (Mo., Day, Yr.)
only if itemization |
|-------------------|---|---|---------|---------|---------|--------|---------|--------|---|---|
| | | | BLOCK A | BLOCK B | Type | Amount | BLOCK C | Amount | | |
| | | | | | | | | | | |
| Datacession Inc. | None (or less than \$1,001) | | | | | | | | | |
| | \$1,001 - \$15,000 | | | | | | | | | |
| | \$15,001 - \$50,000 | | | | | | | | | |
| | \$50,001 - \$100,000 | | | | | | | | | |
| | \$100,001 - \$250,000 | | | | | | | | | |
| | \$250,001 - \$500,000 | | | | | | | | | |
| | \$500,001 - \$1,000,000 | | | | | | | | | |
| | Over \$1,000,000* | | | | | | | | | |
| | \$1,000,001 - \$5,000,000 | | | | | | | | | |
| | \$5,000,001 - \$25,000,000 | | | | | | | | | |
| | \$25,000,001 - \$50,000,000 | | | | | | | | | |
| | Over \$50,000,000 | | | | | | | | | |
| | Excepted Investment Fund | | | | | | | | | |
| Excepted Trust | | | | | | | | | | |
| Qualified Trust | | | | | | | | | | |
| | | Dividends | | | | | | | | |
| | | Rent and Royalties | | | | | | | | |
| | | Interest | | | | | | | | |
| | | Capital Gains | | | | | | | | |
| | | None (or less than \$201) | | | | | | | | |
| | | \$201 - \$1,000 | | | | | | | | |
| | | \$1,001 - \$2,500 | | | | | | | | |
| | | \$2,501 - \$5,000 | | | | | | | | |
| | | \$5,001 - \$15,000 | | | | | | | | |
| | | \$15,001 - \$50,000 | | | | | | | | |
| | | \$50,001 - \$100,000 | | | | | | | | |
| | | \$100,001 - \$1,000,000 | | | | | | | | |
| | | Over \$1,000,000* | | | | | | | | |
| | | \$1,000,001 - \$5,000,000 | | | | | | | | |
| | | Over \$5,000,000 | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer, spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Page 1 of 6 (continued)

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U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE B

Page Number
3 of 6

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

None ☐

| Example: General Voluntary Contribution | Identification of Asset | Transaction Type | | | Date (MM/DD/YY) | Amount of Transaction (USD) | | | | | | | | | | Certificate of Disclosure |
|---|--------------------------------|------------------|------|----------|-----------------|-----------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-------------------|---------------------------|
| | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | |
| 1 | Vanguard Small Cap Mutual Fund | X | | | 12/20/10 | X | | | | | | | | | | |
| 2 | Vanguard Mid Cap Mutual Fund | X | | | 12/20/10 | X | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse, and dependent children, report the source, a brief description, and the value of (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$200, and (2) travel-related cash reimbursements received from one source totaling more than \$200. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. 4111 or other statutory authority, etc. For travel related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None ☒

| Source (Name and Address) | | Brief Description | | Value |
|--|--|--|--|-------|
| Example: National Endowment for the Arts | Value (in USD, brief form & abbrev. - exclude to national conference re: U.S. govt. personal activity not related to duty) | Example: National Endowment for the Arts | Value (in USD, brief form & abbrev. - exclude to national conference re: U.S. govt. personal activity not related to duty) | |
| 1 | | | | \$200 |
| 2 | | | | \$200 |
| 3 | | | | \$200 |
| 4 | | | | \$200 |
| 5 | | | | \$200 |

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE C

Page Number
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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude:

- a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

| Creditor's Name and Address | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Amount or Amount of Value (\$) | | | | | | | | | |
|---|---|---------------|---------------|--------------------|--------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|------------------|------------------|------------------|------------------|
| | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 | Over \$1,000,000 | Over \$1,000,000 | Over \$1,000,000 |
| Example: First National Bank, Washington, DC
John Jones, 123 P St., Washington, DC | Mortgage, personal property, Delaware Promissory note | 1998 | 8% | 25 yrs. | | | | | | | | | | |
| 1 College Loan Corporation, San Diego CA | loan | 2003 | 4.86% | 10yr | | X | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

| Status and Terms of any Agreement or Arrangement | | Parties | Date |
|--|--|---------|------|
| Example: Partnership to perform design agreement will receive lump sum payment of capital account & partnership share cash related to service performed through 1-01 | | | |
| 1 | | | 2-85 |
| 2 | | | |
| 3 | | | |
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| 6 | | | |
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| 8 | | | |
| 9 | | | |
| 10 | | | |

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE D

Page Number
5 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

| 1
Number | Organization, Name, and Address | | 2
Non-Profit Indication
Yes/No | 3
Type of Organization | 4
Position Held | 5
From What Date To What Date | 6
Amount Received |
|-------------|---------------------------------|------------------|--------------------------------------|---------------------------|--------------------|----------------------------------|----------------------|
| | Street, Box, P.O. Box, etc. | City, State, Zip | | | | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

None ☒

| Source (Name and Address) | | Brief Description of Duties | |
|---------------------------|--|-----------------------------|--|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |

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Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I reviewed the restrictions (found on NSANet at: http://forms.do.nsa/pdf/SF0278_AC.pdf) for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name, First Name, Middle Initial

Date

18 Feb 11

Signature

AKB

VICE NEWS

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SF278 SUPERVISOR'S EVALUATION FORM

SF278 Filer's Name: Alexander Keith B

SF278 Filer's SID: kba1eva

SF278 Filer's ORG: D

SF278 Filer's Job Description (short list of duties-use back if necessary):

Director NSA / Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship." If a reasonable person with knowledge of the facts would question the filer's impartiality in the matter, A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then **SIGN**.

☐ No financial interests or affiliations reported. (Only check if **ALL** blocks on the SF278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are:

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by:

☐ **Exemption**-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000.

☐ **Disqualification attached**-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: <http://site/works.nsa/index.cfm?id=10304>

☐ **Divestiture** of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ **Waivers** are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Michael Vickers

Date:

Supervisor's Signature:

Michael Vickers

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-6786s/301-688-2752b

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2012

Covering Calendar Year 2011

[illegible]

100

UNCLASSIFIED

Alexander, Keith B

☒[illegible][illegible]

For you, your spouse and dependent children, report the source a "beneficiary" from and the value of gifts (such as tangible items, transportation, lodging, bank or government account received from one source totaling more than \$1,000, or appreciated assets, such as securities received from one source totaling more than \$1,000). For each analysis, it is helpful to indicate a date for receipt, such as "personal travel," "charitable," or "approval under 51 USC 2111," or other similar category, etc. For tax-simplified gifts and reimbursements, include travel, insurance, and the nature of expenses provided. Exclude anyone referred to you as a "beneficiary."

the IRS has commented, given to your agency in connection with special travel received from relatives, received by your spouse or dependent child totally independent of their relationship to you, is provided as payment for utility of the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$14 or less. See *Winkler* for more on other exclusions.

| No. 101-1 | | No. 101-2 | | No. 101-3 | | No. 101-4 | | No. 101-5 | | No. 101-6 | | No. 101-7 | | No. 101-8 | | No. 101-9 | | No. 101-10 | | No. 101-11 | | No. 101-12 | | No. 101-13 | | No. 101-14 | | No. 101-15 | | No. 101-16 | | No. 101-17 | | No. 101-18 | | No. 101-19 | | No. 101-20 | | No. 101-21 | | No. 101-22 | | No. 101-23 | | No. 101-24 | | No. 101-25 | | No. 101-26 | | No. 101-27 | | No. 101-28 | | No. 101-29 | | No. 101-30 | | No. 101-31 | | No. 101-32 | | No. 101-33 | | No. 101-34 | | No. 101-35 | | No. 101-36 | | No. 101-37 | | No. 101-38 | | No. 101-39 | | No. 101-40 | | No. 101-41 | | No. 101-42 | | No. 101-43 | | No. 101-44 | | No. 101-45 | | No. 101-46 | | No. 101-47 | | No. 101-48 | | No. 101-49 | | No. 101-50 | | No. 101-51 | | No. 101-52 | | No. 101-53 | | No. 101-54 | | No. 101-55 | | No. 101-56 | | No. 101-57 | | No. 101-58 | | No. 101-59 | | No. 101-60 | | No. 101-61 | | No. 101-62 | | No. 101-63 | | No. 101-64 | | No. 101-65 | | No. 101-66 | | No. 101-67 | | No. 101-68 | | No. 101-69 | | No. 101-70 | | No. 101-71 | | No. 101-72 | | No. 101-73 | | No. 101-74 | | No. 101-75 | | No. 101-76 | | No. 101-77 | | No. 101-78 | | No. 101-79 | | No. 101-80 | | No. 101-81 | | No. 101-82 | | No. 101-83 | | No. 101-84 | | No. 101-85 | | No. 101-86 | | No. 101-87 | | No. 101-88 | | No. 101-89 | | No. 101-90 | | No. 101-91 | | No. 101-92 | | No. 101-93 | | No. 101-94 | | No. 101-95 | | No. 101-96 | | No. 101-97 | | No. 101-98 | | No. 101-99 | | No. 101-100 | |
|-----------|--|-----------|--|-----------|--|-----------|--|-----------|--|-----------|--|-----------|--|-----------|--|-----------|--|-------------|--|
| 1 | | 2 | | 3 | | 4 | | 5 | | 6 | | 7 | | 8 | | 9 | | 10 | | 11 | | 12 | | 13 | | 14 | | 15 | | 16 | | 17 | | 18 | | 19 | | 20 | | 21 | | 22 | | 23 | | 24 | | 25 | | 26 | | 27 | | 28 | | 29 | | 30 | | 31 | | 32 | | 33 | | 34 | | 35 | | 36 | | 37 | | 38 | | 39 | | 40 | | 41 | | 42 | | 43 | | 44 | | 45 | | 46 | | 47 | | 48 | | 49 | | 50 | | 51 | | 52 | | 53 | | 54 | | 55 | | 56 | | 57 | | 58 | | 59 | | 60 | | 61 | | 62 | | 63 | | 64 | | 65 | | 66 | | 67 | | 68 | | 69 | | 70 | | 71 | | 72 | | 73 | | 74 | | 75 | | 76 | | 77 | | 78 | | 79 | | 80 | | 81 | | 82 | | 83 | | 84 | | 85 | | 86 | | 87 | | 88 | | 89 | | 90 | | 91 | | 92 | | 93 | | 94 | | 95 | | 96 | | 97 | | 98 | | 99 | | 100 | |

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Alexander, Keith B

SCHEDULE C

5 of 6

Part I: Liabilities

Report liabilities owed. If you have a liability, check the box in the column headed "Include" and enter the amount owed. If you have a liability that is excluded from the report, check the box in the column headed "Exclude".

None ☐

| 1 | | 2 | | 3 | | 4 | | 5 | | 6 | | 7 | | 8 | | 9 | | 10 | | 11 | | 12 | | 13 | | 14 | | 15 | | 16 | | 17 | | 18 | | 19 | | 20 | | 21 | | 22 | | 23 | | 24 | | 25 | | 26 | | 27 | | 28 | | 29 | | 30 | | 31 | | 32 | | 33 | | 34 | | 35 | | 36 | | 37 | | 38 | | 39 | | 40 | | 41 | | 42 | | 43 | | 44 | | 45 | | 46 | | 47 | | 48 | | 49 | | 50 | | 51 | | 52 | | 53 | | 54 | | 55 | | 56 | | 57 | | 58 | | 59 | | 60 | | 61 | | 62 | | 63 | | 64 | | 65 | | 66 | | 67 | | 68 | | 69 | | 70 | | 71 | | 72 | | 73 | | 74 | | 75 | | 76 | | 77 | | 78 | | 79 | | 80 | | 81 | | 82 | | 83 | | 84 | | 85 | | 86 | | 87 | | 88 | | 89 | | 90 | | 91 | | 92 | | 93 | | 94 | | 95 | | 96 | | 97 | | 98 | | 99 | | 100 | | 101 | | 102 | | 103 | | 104 | | 105 | | 106 | | 107 | | 108 | | 109 | | 110 | | 111 | | 112 | | 113 | | 114 | | 115 | | 116 | | 117 | | 118 | | 119 | | 120 | | 121 | | 122 | | 123 | | 124 | | 125 | | 126 | | 127 | | 128 | | 129 | | 130 | | 131 | | 132 | | 133 | | 134 | | 135 | | 136 | | 137 | | 138 | | 139 | | 140 | | 141 | | 142 | | 143 | | 144 | | 145 | | 146 | | 147 | | 148 | | 149 | | 150 | | 151 | | 152 | | 153 | | 154 | | 155 | | 156 | | 157 | | 158 | | 159 | | 160 | | 161 | | 162 | | 163 | | 164 | | 165 | | 166 | | 167 | | 168 | | 169 | | 170 | | 171 | | 172 | | 173 | | 174 | | 175 | | 176 | | 177 | | 178 | | 179 | | 180 | | 181 | | 182 | | 183 | | 184 | | 185 | | 186 | | 187 | | 188 | | 189 | | 190 | | 191 | | 192 | | 193 | | 194 | | 195 | | 196 | | 197 | | 198 | | 199 | | 200 | | 201 | | 202 | | 203 | | 204 | | 205 | | 206 | | 207 | | 208 | | 209 | | 210 | | 211 | | 212 | | 213 | | 214 | | 215 | | 216 | | 217 | | 218 | | 219 | | 220 | | 221 | | 222 | | 223 | | 224 | | 225 | | 226 | | 227 | | 228 | | 229 | | 230 | | 231 | | 232 | | 233 | | 234 | | 235 | | 236 | | 237 | | 238 | | 239 | | 240 | | 241 | | 242 | | 243 | | 244 | | 245 | | 246 | | 247 | | 248 | | 249 | | 250 | | 251 | | 252 | | 253 | | 254 | | 255 | | 256 | | 257 | | 258 | | 259 | | 260 | | 261 | | 262 | | 263 | | 264 | | 265 | | 266 | | 267 | | 268 | | 269 | | 270 | | 271 | | 272 | | 273 | | 274 | | 275 | | 276 | | 277 | | 278 | | 279 | | 280 | | 281 | | 282 | | 283 | | 284 | | 285 | | 286 | | 287 | | 288 | | 289 | | 290 | | 291 | | 292 | | 293 | | 294 | | 295 | | 296 | | 297 | | 298 | | 299 | | 300 | | 301 | | 302 | | 303 | | 304 | | 305 | | 306 | | 307 | | 308 | | 309 | | 310 | | 311 | | 312 | | 313 | | 314 | | 315 | | 316 | | 317 | | 318 | | 319 | | 320 | | 321 | | 322 | | 323 | | 324 | | 325 | | 326 | | 327 | | 328 | | 329 | | 330 | | 331 | | 332 | | 333 | | 334 | | 335 | | 336 | | 337 | | 338 | | 339 | | 340 | | 341 | | 342 | | 343 | | 344 | | 345 | | 346 | | 347 | | 348 | | 349 | | 350 | | 351 | | 352 | | 353 | | 354 | | 355 | | 356 | | 357 | | 358 | | 359 | | 360 | | 361 | | 362 | | 363 | | 364 | | 365 | | 366 | | 367 | | 368 | | 369 | | 370 | | 371 | | 372 | | 373 | | 374 | | 375 | | 376 | | 377 | | 378 | | 379 | | 380 | | 381 | | 382 | | 383 | | 384 | | 385 | | 386 | | 387 | | 388 | | 389 | | 390 | | 391 | | 392 | | 393 | | 394 | | 395 | | 396 | | 397 | | 398 | | 399 | | 400 | | 401 | | 402 | | 403 | | 404 | | 405 | | 406 | | 407 | | 408 | | 409 | | 410 | | 411 | | 412 | | 413 | | 414 | | 415 | | 416 | | 417 | | 418 | | 419 | | 420 | | 421 | | 422 | | 423 | | 424 | | 425 | | 426 | | 427 | | 428 | | 429 | | 430 | | 431 | | 432 | | 433 | | 434 | | 435 | | 436 | | 437 | | 438 | | 439 | | 440 | | 441 | | 442 | | 443 | | 444 | | 445 | | 446 | | 447 | | 448 | | 449 | | 450 | | 451 | | 452 | | 453 | | 454 | | 455 | | 456 | | 457 | | 458 | | 459 | | 460 | | 461 | | 462 | | 463 | | 464 | | 465 | | 466 | | 467 | | 468 | | 469 | | 470 | | 471 | | 472 | | 473 | | 474 | | 475 | | 476 | | 477 | | 478 | | 479 | | 480 | | 481 | | 482 | | 483 | | 484 | | 485 | | 486 | | 487 | | 488 | | 489 | | 490 | | 491 | | 492 | | 493 | | 494 | | 495 | | 496 | | 497 | | 498 | | 499 | | 500 | | 501 | | 502 | | 503 | | 504 | | 505 | | 506 | | 507 | | 508 | | 509 | | 510 | | 511 | | 512 | | 513 | | 514 | | 515 | | 516 | | 517 | | 518 | | 519 | | 520 | | 521 | | 522 | | 523 | | 524 | | 525 | | 526 | | 527 | | 528 | | 529 | | 530 | | 531 | | 532 | | 533 | | 534 | | 535 | | 536 | | 537 | | 538 | | 539 | | 540 | | 541 | | 542 | | 543 | | 544 | | 545 | | 546 | | 547 | | 548 | | 549 | | 550 | | 551 | | 552 | | 553 | | 554 | | 555 | | 556 | | 557 | | 558 | | 559 | | 560 | | 561 | | 562 | | 563 | | 564 | | 565 | | 566 | | 567 | | 568 | | 569 | | 570 | | 571 | | 572 | | 573 | | 574 | | 575 | | 576 | | 577 | | 578 | | 579 | | 580 | | 581 | | 582 | | 583 | | 584 | | 585 | | 586 | | 587 | | 588 | | 589 | | 590 | | 591 | | 592 | | 593 | | 594 | | 595 | | 596 | | 597 | | 598 | | 599 | | 600 | | 601 | | 602 | | 603 | | 604 | | 605 | | 606 | | 607 | | 608 | | 609 | | 610 | | 611 | | 612 | | 613 | | 614 | | 615 | | 616 | | 617 | | 618 | | 619 | | 620 | | 621 | | 622 | | 623 | | 624 | | 625 | | 626 | | 627 | | 628 | | 629 | | 630 | | 631 | | 632 | | 633 | | 634 | | 635 | | 636 | | 637 | | 638 | | 639 | | 640 | | 641 | | 642 | | 643 | | 644 | | 645 | | 646 | | 647 | | 648 | | 649 | | 650 | | 651 | | 652 | | 653 | | 654 | | 655 | | 656 | | 657 | | 658 | | 659 | | 660 | | 661 | | 662 | | 663 | | 664 | | 665 | | 666 | | 667 | | 668 | | 669 | | 670 | | 671 | | 672 | | 673 | | 674 | | 675 | | 676 | | 677 | | 678 | | 679 | | 680 | | 681 | | 682 | | 683 | | 684 | | 685 | | 686 | | 687 | | 688 | | 689 | | 690 | | 691 | | 692 | | 693 | | 694 | | 695 | | 696 | | 697 | | 698 | | 699 | | 700 | | 701 | | 702 | | 703 | | 704 | | 705 | | 706 | | 707 | | 708 | | 709 | | 710 | | 711 | | 712 | | 713 | | 714 | | 715 | | 716 | | 717 | | 718 | | 719 | | 720 | | 721 | | 722 | | 723 | | 724 | | 725 | | 726 | | 727 | | 728 | | 729 | | 730 | | 731 | | 732 | | 733 | | 734 | | 735 | | 736 | | 737 | | 738 | | 739 | | 740 | | 741 | | 742 | | 743 | | 744 | | 745 | | 746 | | 747 | | 748 | | 749 | | 750 | | 751 | | 752 | | 753 | | 754 | | 755 | | 756 | | 757 | | 758 | | 759 | | 760 | | 761 | | 762 | | 763 | | 764 | | 765 | | 766 | | 767 | | 768 | | 769 | | 770 | | 771 | | 772 | | 773 | | 774 | | 775 | | 776 | | 777 | | 778 | | 779 | | 780 | | 781 | | 782 | | 783 | | 784 | | 785 | | 786 | | 787 | | 788 | | 789 | | 790 | | 791 | | 792 | | 793 | | 794 | | 795 | | 796 | | 797 | | 798 | | 799 | | 800 | | 801 | | 802 | | 803 | | 804 | | 805 | | 806 | | 807 | | 808 | | 809 | | 810 | | 811 | | 812 | | 813 | | 814 | | 815 | | 816 | | 817 | | 818 | | 819 | | 820 | | 821 | | 822 | | 823 | | 824 | | 825 | | 826 | | 827 | | 828 | | 829 | | 830 | | 831 | | 832 | | 833 | | 834 | | 835 | | 836 | | 837 | | 838 | | 839 | | 840 | | 841 | | 842 | | 843 | | 844 | | 845 | | 846 | | 847 | | 848 | | 849 | | 850 | | 851 | | 852 | | 853 | | 854 | | 855 | | 856 | | 857 | | 858 | | 859 | | 860 | | 861 | | 862 | | 863 | | 864 | | 865 | | 866 | | 867 | | 868 | | 869 | | 870 | | 871 | | 872 | | 873 | | 874 | | 875 | | 876 | | 877 | | 878 | | 879 | | 880 | | 881 | | 882 | | 883 | | 884 | | 885 | | 886 | | 887 | | 888 | | 889 | | 890 | | 891 | | 892 | | 893 | | 894 | | 895 | | 896 | | 897 | | 898 | | 899 | | 900 | | 901 | | 902 | | 903 | | 904 | | 905 | | 906 | | 907 | | 908 | | 909 | | 910 | | 911 | | 912 | | 913 | | 914 | | 915 | | 916 | | 917 | | 918 | | 919 | | 920 | | 921 | | 922 | | 923 | | 924 | | 925 | | 926 | | 927 | | 928 | | 929 | | 930 | | 931 | | 932 | | 933 | | 934 | | 935 | | 936 | | 937 | | 938 | | 939 | | 940 | | 941 | | 942 | | 943 | | 944 | | 945 | | 946 | | 947 | | 948 | | 949 | | 950 | | 951 | | 952 | | 953 | | 954 | | 955 | | 956 | | 957 | | 958 | | 959 | | 960 | | 961 | | 962 | | 963 | | 964 | | 965 | | 966 | | 967 | | 968 | | 969 | | 970 | | 971 | | 972 | | 973 | | 974 | | 975 | | 976 | | 977 | | 978 | | 979 | | 980 | | 981 | | 982 | | 983 | | 984 | | 985 | | 986 | | 987 | | 988 | | 989 | | 990 | | 991 | | 992 | | 993 | | 994 | | 995 | | 996 | | 997 | | 998 | | 999 | | 1000 | | 1001 | | 1002 | | 1003 | | 1004 | | 1005 | | 1006 | | 1007 | | 1008 | | 1009 | | 1010 | | 1011 | | 1012 | | 1013 | | 1014 | | 1015 | | 1016 | | 1017 | | 1018 | | 1019 | | 1020 | | 1021 | | 1022 | | 1023 | | 1024 | | 1025 | | 1026 | | 1027 | | 1028 | | 1029 | | 1030 | | 1031 | | 1032 | | 1033 | | 1034 | | 1035 | | 1036 | | 1037 | | 1038 | | 1039 | | 1040 | | 1041 | | 1042 | | 1043 | | 1044 | | 1045 | | 1046 | | 1047 | | 1048 | | 1049 | | 1050 | | 1051 | | 1052 | | 1053 | | 1054 | | 1055 | | 1056 | | 1057 | | 1058 | | 1059 | | 1060 | | 1061 | | 1062 | | 1063 | | 1064 | | 1065 | | 1066 | | 1067 | | 1068 | | 1069 | | 1070 | | 1071 | | 1072 | | 1073 | | 1074 | | 1075 | | 1076 | | 1077 | | 1078 | | 1079 | | 1080 | | 1081 | | 1082 | | 1083 | | 1084 | | 1085 | | 1086 | | 1087 | | 1088 | | 1089 | | 1090 | | 1091 | | 1092 | | 1093 | | 1094 | | 1095 | | 1096 | | 1097 | | 1098 | | 1099 | | 1100 | | 1101 | | 1102 | | 1103 | | 1104 | | 1105 | | 1106 | | 1107 | | 1108 | | 1109 | | 1110 | | 1111 | | 1112 | | 1113 | | 1114 | | 1115 | | 1116 | | 1117 | | 1118 | | 1119 | | 1120 | | 1121 | | 1122 | | 1123 | | 1124 | |
|---|--|---|--|---|--|---|--|---|--|---|--|---|--|---|--|---|--|-----|--|------|--|------|--|
|---|--|---|--|---|--|---|--|---|--|---|--|---|--|---|--|---|--|-----|--|------|--|------|--|

UNCLASSIFIED

Alexander, Keith B

SCHEDULE D

6 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of director, trustee, or in fact partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with respect to social, fraternal, or political entities and those solely of an honorific nature.

None ☒

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your spouse or dependent child for services provided directly by you during any one year of the reporting period. This includes the names of firms and individuals of any compensation, firm, partnership, or other business enterprise or any other

Do not complete this part if you are an incumbent, termination brief, or Vice Presidential or Presidential Candidate. Do not include compensation when you directly provided the services (excluding a fee for advisory or more than \$5,000 per year) provided by the U.S. Government to a source. None ☒

UNCLASSIFIED

UNCLASSIFIED

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name First Name Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page! *

** An e-Mail will come up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the SEND button to complete the process.

Alexander Keith
Brian kbalex2

Signature of Individual Reporting
Employee's Signature

Employee's Signature

04/04/2012

Date



UNCLASSIFIED

UNCLASSIFIED

OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander, Keith B OGE 278 Filer's SID: kbalexa OGE 278 Filer's ORG: D

OGE 278 Filer's Job Description (short list of duties): Commander US Cyber Com Director NSA Chief CSS

ATTENTION SUPERVISORS!

A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer's spouse, minor child, general partner, outside business employer, or organization for which the filer is an officer-director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship." If a reasonable person with knowledge of the facts would question the filer's impartiality in the matter, A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options: mark one that best describes this filer's situation, then SIGN

☐ No financial interests or affiliations reported (Only check if ALL blocks on the OGE 278 are marked "NONE")

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties and no conflicts appear to exist

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation including a former Non-Federal employer within the previous year. The conflict will be resolved by _____

☐ Exemption-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000

☐ Disqualification attached-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: [Filer's Disqualification Form Template](#) [Redacted]

☐ Divestiture of interests by filer pending and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture

☐ Waivers are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application

Supervisor's Printed Name: Michael Vickers

Date: 20 April 2012

Supervisor's Signature: Michael Vickers

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-67866/301-688-2752b

UNCLASSIFIED

Derived From: _____
Dated: _____
Declassify On: _____

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received April 2013

Covering Calendar Year 2012

[illegible]

| | | | | | | | |
|---|--|--|--|---|--|---|--|
| Reporting Individual's Name | | First Name
Alexander | | Last Name and Middle Initial
Keith | | B | |
| Position for Which Filing | | Commander USCYBERCOM/Director NSA/Chief CSS | | Department or Agency (if Applicable) | | USCYBERCOM/NSA/CSS | |
| Location of Present Office | | Address (Number, Street, City, State, and ZIP Code)
9800 Savage Road, Ft Meade MD 20755 | | Telephone No. (include Area Code)
(301) 688-7111 | | | |
| Nominees, and each the Federal Government, having the following to sign on behalf of the President or Vice President as they see fit: | | Title of Position and Dates Held | | | | | |
| Presidential Nominees Subject to Senate Confirmation | | Name of Congressional Committee(s) of Sponsoring Nomination | | The Nominee is a Federal Government Employee | | Yes ☐ No ☐ | |
| Certification | | Signature of Reporting Individual
Alexander Keith Brian
kbalex2 | | Date (Month, Day, Year)
04/08/2013 | | Signature of Reporting Individual
[Signature]
Date (Month, Day, Year)
04/08/2013 | |
| Other Review (if desired by agency) | | Signature of Other Reviewer | | Date (Month, Day, Year) | | Signature of Other Reviewer | |
| Agency Ethics Official's Opinion | | Signature of Designated Agency Ethics Official (Reporting Official) | | Date (Month, Day, Year) | | Signature of Designated Agency Ethics Official | |
| Office of Government Ethics Use Only | | Signature | | Date (Month, Day, Year) | | Signature | |

Check box if filing extension granted & indicate number of days

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or if an extension is granted, more than 30 days after the last date of the filing extension period, shall be subject to a \$200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A: The reporting period for to complete Part A is the preceding calendar year and the current calendar year up to the date of filing. After seven (7) days of the date of filing.

Schedule B: Not applicable.

Schedule C, Part I: The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II: Agreements or arrangements, shows, any agreements or arrangements, as of the date of filing.

Schedule D: The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

Only Use Only

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Reporting Individual's Name
 Alexander, Keith B

SCHEDULE A

Page Number
 2 of 6

| Assets and Income | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | |
|---|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|--|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|----------------------------|--------------------------------------|
| | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | Type | Amount | | | | | | | | | | | | | | | | | | | |
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income Type & Amount | Date Acq./Dis. If J. or B. Monitored |
| Examples | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Capital Markets Corporation | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Van Kampen Small Business Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SmallCap Value Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| WRL Life Insurance (3 funds - see breakout below) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Transamerica Van Kampen Mid Cap Growth VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Transamerica Value Balance VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Transamerica Equity VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Vanguard Small Cap Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Vanguard Mid Cap Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset income is solely that of the filer's spouse or dependent children. If the asset income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value as appropriate.

3 of 6

... ..

THE UNIVERSITY OF CHICAGO

This category applies only if the essay concerns a subject that the rater's spouse or dependent children, if the assessor is either a parent or grandparent, mark the other higher categories of value, as appropriate.

Page Number
4 of 6☒ None

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child, to check the "certificate of disclosure" block to indicate sales made pursuant to a certificate of disclosure from OGI.

[illegible]

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$300 and (2) travel-related cash reimbursements received from one source totaling more than \$335. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 411 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government, given to your agency in connection with official travel, received from relatives, received by your spouse or dependent child totally independent of their relationship to you, or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$134 or less. See instructions for other exclusions.

☒ **Noted**[illegible]

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Alexander, Keith B

SCHEDULE B continued
(Use only if needed)

Page Number

of 6

Part I: Transactions

| | Identify each of assets | Transaction Type(s) | | | Date
MM/DD/YYYY | Amount of Transaction | | | | | | | | | | | |
|-----|-------------------------|---------------------|------|----------|--------------------|-----------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|-----------------------------|-------------------|----------------------------|
| | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$10,000,000 | \$10,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |
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* This section applies only if the underlying asset is subject that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

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Reporting Entity Name
 Alexander, Keith B

SCHEDULE C

Page Number
 5 of 6

Part I: Liabilities

Report liabilities over \$1,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude:

None ☐

| Line Item | Creditor Name and Address | Type of Liability | Date Incurred | Interest Rate | Term if Applicable | Degree of Amount Owed | | | | | | | | | |
|-----------|--|-------------------|---------------|---------------|--------------------|-----------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| | | | | | | \$10,001 - \$15,000 | \$15,001 - \$20,000 | \$20,001 - \$25,000 | \$25,001 - \$30,000 | \$30,001 - \$35,000 | \$35,001 - \$40,000 | \$40,001 - \$45,000 | \$45,001 - \$50,000 | \$50,001 - \$55,000 | \$55,001 - \$60,000 |
| 1 | College Loan Corporation, San Diego CA | Loan | 2003 | 4.86% | 10y | | | | | | | | | | |
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* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

| Line Item | Status and Terms of any Agreement or Arrangement | Parties | Date |
|-----------|--|---------|------|
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SCHEDULE D

of 6

Excluded Materials and Topics

organization or educational institution. **Exclude** positions with religious, fraternal, or political entities and those solely of an honorary nature.

☒ None[illegible]

Do not complete this part if you are an Incumbent, Termination Filer, or Vice

non-profit organization when **Presidential or Presidential** you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

☒ **Yes**

[illegible]

Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander Keith B

Print: Last Name First Name Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page!!

** An e-mail will pop up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the 'SEND' button to complete the process.

Alexander Keith

Brian kbalex2

Digitally signed by Alexander Keith Brian kbalex2
DN: c=US, o=U.S. Government, ou=DoD,
ou=NSA, ou=DDO, ou=ARMY, cn=Alexander
Keith Brian kbalex2
Date: 2013.04.08 11:15:31 -0400

Employee's Signature

04/08/2013

Date

Signature Required

OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander, Keith B OGE 278 Filer's SID: kbalex2 OGE 278 Filer's ORG: DOGE 278 Filer's Job Description (short list of duties): Commander USCYBERCOM/Director NSA/Chief CSS**ATTENTION SUPERVISORS!**

A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship." If a reasonable person with knowledge of the facts would question the filer's impartiality in the matter, a filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then SIGN.

☐ No financial interests or affiliations reported. (Only check if ALL blocks on the OGE 278 are marked "NONE".)

☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.

☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that E&FL issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____

☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by: _____

☐ Exemption-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000.

☐ Disqualification attached-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: [\(Click "Disqualification Form" button to complete requirement\)](#)

☐ Divestiture of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact E&FL for Certificate of Divestiture.

☐ Waivers are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact E&FL for waiver application.

Supervisor's Printed Name: Michael VICTORSDate: 12 August 2013Supervisor's Signature: Michael VICTORS

Ethics & Fiscal Law (E&FL): D27, OPS 2A, Rm 2A0266, Suite 6205 963-67866/301-688-2752b

FD-278 (Rev. 12-10) USE PREVIOUS EDITIONS ARE OBSOLETE.

UNCLASSIFIED//FOR OFFICIAL USE ONLY

Derived From: _____
Dated: _____
Declassify On: _____

Public Financial Disclosure Report (OGE 278)

For Keith B. Alexander

Received March 2014

Covering Calendar Year 2013

And 01 January 2014 to 31 March 2014

UNCLASSIFIED
Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT
UNCLASSIFIED

| | | | | | | | | | |
|--|--|---|--|---|--|---|--|--|--|
| Filing Appointment (Deadline, Election, or Nomination Month, Day, Year) | | Reporting Status
08/02/2005 | | Incumbent
☐ | | New Entrant, Nominee, or Candidate
☐ | | Termination Date (If Applicable)
03/31/2014 | |
| Reporting Individual's Name | | Last Name
Alexander | | First Name and Middle Initial
Keith | | Department or Agency (If Applicable)
USCYBERCOM/NSA/CSS | | B | |
| Position for Which Filing | | Title of Position
Commander USCYBERCOM/Director NSACHief CSS | | USCYBERCOM/NSA/CSS | | | | | |
| Location of Present Office (or forwarding address) | | Address (Number, Street, City, State, and ZIP Code)
9800 Savage Road, Ft. Meade MD 20755 | | Telephone No. (Include Area Code)
(301) 688-7111 | | | | | |
| Position Held with the Federal Government During the Preceding 12 Months (If Not Name as Above) | | Title of Position(s) and Dates Held | | | | | | | |
| Presidential Nominees Subject to Senate Confirmation | | Name of Congressional Committee Considering Nomination | | Do You Intend to Create a (Qualified Diversified Trust)?
☐ Yes ☒ No | | | | | |
| Certification | | Signature of Reporting Individual
Alexander Keith Brian Kbaalex2 | | Date (Month, Day, Year)
03/31/2014 | | * You MUST FIRST sign this page which will then allow you to sign the Annual Certificate page which is on the next to last page. Both pages MUST be signed to complete the process. | | | |
| Other Review (If desired by agency) | | Signature of Other Reviewer | | Date (Month, Day, Year)
03/31/2014 | | | | | |
| Agency Ethics Official's Opinion | | Signature of Designated Agency Ethics Official Reviewing Official | | Date (Month, Day, Year) | | | | | |
| On the basis of information contained in this report, I ascertain that the filer is in compliance with applicable laws and regulations subject to any comments in the box below. | | Signature | | Date (Month, Day, Year) | | | | | |
| Office of Government Ethics Use Only | | Signature | | Date (Month, Day, Year) | | | | | |
| Contents of Reviewing Official's | | | | | | | | | |
| IR 3/31 - NO CONFLICTS - Consistent with 2013 report. | | | | | | | | | |
| (Check box if filing extension granted & indicate number of days) ☐ | | | | | | | | | |
| UNCLASSIFIED | | | | | | | | | |
| Agency Use Only | | | | | | | | | |
| 03/31/14 | | | | | | | | | |
| OGE Use Only | | | | | | | | | |

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$,200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.
Nominees, New Entrants and Candidates for President and Vice President:
Schedule A--The reporting period for income (1040-K) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Schedule B--Not applicable.
Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.
Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Reporting Individual's Name
Alexander, Keith B

SCHEDULE A

Page Number
2 of 6

| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | | | Income: (Type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.) | | | | | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--------------------------------------|---|
| BLOCK A | | BLOCK B | | | | | | | | | | | | BLOCK C | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | Type | | | | | | | | | | | | Other Income (Specify Type & Amount) | Date (Mo., Day, Yr.)
Only if Honoraria |
| | | | | | | | | | | | | | | Amount | | | | | | | | | | | | | |
| For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).

None ☐ | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Examples | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Central Airlines (London) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Kos Jones & Smith, Homeowner, Napa | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Kempstone Equity Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| IR X Heartland SPI Index Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 WRL Life Insurance (3 funds - see breakout below): | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 Transamerica Van Kampen Mid Cap Growth VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 Transamerica Value Balance VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 Transamerica Equity VP Mutual Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 RF Micro Devices | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset income is solely that of the filer's spouse or dependent children. If the asset income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value as appropriate.

1 of 5

Page Number

[illegible]

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
Alexander, Keith B

SCHEDULE B

Page Number
2 of 6

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodities, futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child.

Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☒

| | | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x1) | | | | | | | | | | | |
|---------|--------------------------------|----------------------|------|----------|----------------------|----------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|
| | | Purchase | Sale | Exchange | | \$1,000 - \$10,000 | \$15,000 - \$50,000 | \$50,000 - \$100,000 | \$100,000 - \$250,000 | \$250,000 - \$500,000 | \$500,000 - \$1,000,000 | Over \$1,000,000* | \$1,000,000 - \$5,000,000 | \$5,000,000 - \$25,000,000 | \$25,000,000 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |
| Example | Legend: Verifies Common | | | | 2-1-98 | | | | | | | | | | | | |
| 1 | VANGUARD SMALL CAP MUTUAL FUND | x | x | | 8/27/13 | x | | | | | | | | | | | |
| 2 | VANGUARD MID CAP MUTUAL FUND | | x | | 8/27/13 | x | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

Reporting Individual's Name
Alexander, Keith B

SCHEDULE C

Page Number
4 of 6

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

| Examples | Creditor (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (X) | | | | | | | | | |
|----------|------------------------------------|---------------------------------------|---------------|---------------|--------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|------------------|------------------|------------------|----------------|
| | | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 | Over \$1,000,000 | Over \$1,000,000 | Over \$500,000 |
| 1 | First Federal Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | X | | | | | | | |
| 2 | First Fed. Nat. Bk., Boston, MA | Promissory note | 1990 | 10% | on demand | | | | | X | | | | | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payment); (3) leave

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

| Status and Terms of any Agreement or Arrangement | | Parties | Date |
|--|---|----------------------------------|------|
| Example | Agreement in partnership agreement, will to give half own payment of capital account & partnership share calculated on service performed through 1991 | | |
| 1 | | Ther Jones & Smith, Hometown, MA | 7/85 |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

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Reporting Individual's Name
Alexander, Keith B

SCHEDULE D

Page Number
5 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☒

| Line Item | Organization (Name and Address) | | Type of Organization | Position Held | From (Mo./Yr.) To (Mo./Yr.) | |
|-----------|---|------------------|----------------------|---------------|-----------------------------|----------|
| | Street Address, City, State, Zip | Telephone Number | | | Start Date | End Date |
| 1 | Example: 123 Main St., New York, NY 10001 | 212-555-1234 | Non-profit | President | 6/92 | 7/93 |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☒

| Source (Name and Address) | | Legal Services | Brief Description of Duties |
|---|---|---|-----------------------------|
| Example: The Jones & Smith, Montgomery, State | Address | | |
| 1 | Example: 123 Main St., New York, NY 10001 | Legal services in connection with university construction | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| 7 | | | |
| 8 | | | |
| 9 | | | |
| 10 | | | |

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Annual Certification

Restrictions for Seeking Post-Government Service Employment

I certify that I am aware of restrictions for seeking post-Government service employment while still in Federal service, set forth in 18 U.S.C. 208, 41 U.S.C. 423, and 18 U.S.C. 207. I further certify that I have not knowingly violated those statutes that apply to Federal personnel while they are in Federal service.

Alexander

Keith

B

Print: Last Name

First Name

Middle Initial

** You MUST digitally sign the Signature of Individual Reporting box on page 1 BEFORE you are allowed to digitally sign the Employee Signature box on THIS page!

** An e-mail will pop up AFTER your Digital Signature has been applied to the Employee's Signature box on THIS page. Then just click the 'SEND' button to complete the process.

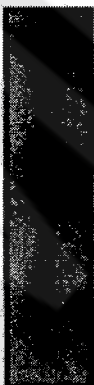
Alexander Keith
Brian kbalex2

Digitally signed by Alexander Keith Brian kbalex2
DN: c=US, o=U.S. Government, ou=DOD,
sn=NSA, cn=0003 uc=ARMY, cn=Alexander
Keith Brian kbalex2
Date: 2014.03.31 11:54:56 -0400

Employee's Signature

03/31/2014

Date



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OGE 278 SUPERVISOR'S EVALUATION FORM

OGE 278 Filer's Name: Alexander, Keith B OGE 278 Filer's SID: kbaalex2 OGE 278 Filer's ORG: D

OGE 278 Filer's Job Description (short list of duties): Commander USCYBERCOM/Director NSA/Chief CSS

ATTENTION SUPERVISORS! A conflict of interest may exist if the filer participates personally and substantially on behalf of the Government in any matter in which the filer, filer's spouse, minor child, general partner, outside business, employer, or organization for which the filer is an officer/director has a financial interest. Do you reasonably anticipate that the filer's Government action could have a direct and predictable effect on the financial interest involved? If so then a conflict of interest may exist. A filer may not work on a matter on behalf of the Government that could have a direct and predictable effect on a financial interest of someone with whom the filer has a "covered relationship." If a reasonable person with knowledge of the facts would question the filer's impartiality in the matter, A filer has a "covered relationship" with anyone with whom the filer has a close personal relationship or served as an employee within the last year. Consider the following options, mark one that best describes this filer's situation, then SIGN.

- ☐ No financial interests or affiliations reported. (Only check if ALL blocks on the OGE 278 are marked "NONE".)
- ☒ Reported financial interests or affiliations are unrelated to assigned or prospective duties, and no conflicts appear to exist.
- ☐ Reported financial interests or affiliations (including a former Non-Federal employer within the previous year) are currently unrelated to assigned duties, but are with defense contractors (or potential defense contractors) that are involved in the same general field as the filer. As the filer's supervisor, I recommend that A&E issue a Memorandum of Caution to the filer to alert him/her of the potential for a conflict or an appearance of a conflict. The financial interest companies or affiliations are: _____
- ☐ Assigned or prospective duties might require participation in matters involving a reported financial interest or affiliation (including a former Non-Federal employer within the previous year). The conflict will be resolved by: _____
- ☐ Exemption-Filer's and family's total financial interest at issue is a publicly-traded stock or bond worth less than \$15,000.
- ☐ Disqualification attached-Supervisor confirms removal from conflicting duties through change in assigned work. Template may be found here: (Click "Disqualification Form" button to complete requirement)
- ☐ Divestiture of interests by filer pending, and relief of filer from all related duties pending divestiture. Contact A&E for Certificate of Divestiture.
- ☐ Waivers are only available when the filer's financial interests are not so substantial as to affect the filer's integrity because the interest is a small percentage of filer's total financial portfolio. Contact A&E for waiver application.

Supervisor's Printed Name: _____

Date: _____

Supervisor's Signature: _____

Administrative Law & Ethics (A&E): D23, NBP1, Room 3A67, Suite 6648 992-3930S/410-854-3910.
Questions regarding the OGE278 should be sent to OGE278@nsa.gov

FORM OGE 278, SEP. REV. OEO 2013 Previous versions are obsolete

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Derived From: _____
Dated: _____
Declassify On: _____